

CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

1. Qualifying Name and Address of Candidate

Sidney H. Gates IV Campaign Fund
7311 Malvern Drive
New Orleans, LA 70126

2. Office Sought (Include title of office as well as parish, city, town and/or election district.)

Judge, Civil District Court
Parish of Orleans
Division C

OFFICE USE ONLY

Supp
3/13
704

0701356

3. Date of Primary September 18, 2004

This report covers from 1/1/2006 through 12/31/2006

4. Type of Report:

- ☐ 180th day prior to primary ☐ 40th day after general
☐ 90th day prior to primary ☐ Annual (future election)
☐ 30th day prior to primary ☒ Supplemental (past election)
☐ 10th day prior to primary
☐ 10th day prior to general ☐ Amendment to prior report

5. FINAL REPORT if:

- ☐ Withdrawn ☐ Filed after the election AND all loans and debts paid
☐ Unopposed

6. Name and Address of Financial Institution (You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)

Liberty Bank and Trust
P. O. Box 60131
New Orleans, LA 70160-0131

7. Full Name and Address of Treasurer

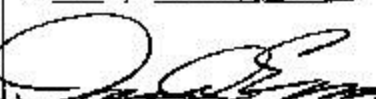
J. H. Banks
1746 Jackson Avenue
New Orleans, LA 70113

9. Name of Person Preparing Report

Daytime Telephone (804) 264-8733 MICHAEL BAUND

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This 16 day of February, 2007


Signature of Candidate/Chairperson
(To be signed by Chairperson only if report by principal campaign committee)

504-299-4810
Daytime Telephone


Signature of Treasurer

504-522-8011
Daytime Telephone

Re-SCANNED

MAY 29 2007

By: 


SCANNED

FEB 27 2007

By: 

2007 FEB 14 PM 2:29

ETHICS ADMINISTRATION
CAMPAIGN FINANCE
RECEIVED

Missing numbered pages were blank and had no information on them. 

B. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

AMENDMENT ATTACHED

SUMMARY PAGE

RECEIPTS	This Period
1. Contributions (Schedule A-1) Page ____ of ____	\$ 0
2. In-kind Contributions (Schedule A-2)	
3. Campaign paraphernalia sales of \$25 or less	
4. TOTAL CONTRIBUTIONS (Lines 1 + 2 + 3)	\$ 0
5. Other Receipts (Schedule A-3)	
6. Loans Received (Schedule B) Page ____ of ____	\$ 0
7. Loan Repayments Received (Schedule D)	
8. TOTAL RECEIPTS (Lines 4 + 5 + 6 + 7)	\$ 0

DISBURSEMENTS	This Period
9. Expenditures (Schedule E-1) Page ____ of ____	\$ 0
10. Other Disbursements (Schedule E-2)	
11. Loan Repayments Made (Schedule B)	
12. Funds Loaned (Schedule D)	
13. TOTAL DISBURSEMENTS (Lines 9 + 10 + 11 + 12)	\$ 0

FINANCIAL SUMMARY	Amount
14. Funds on hand at beginning of reporting period (Must actual funds on hand at close from last report or -0- if first report for this election)	\$ 558
15. Plus total receipts this period (Line 8 above)	\$ 0
16. Less total disbursements this period (Line 13 above)	\$ 0
17. Less in-kind contributions (Line 2 above)	
18. Funds on hand at close of reporting period	\$ 558

Amendment - 5-21-07

Sidney H. Carter IV Campaign Fund - Judge, Civil District Court Parish
of Orleans, Louisiana C.

AMENDMENT

SUMMARY PAGE

RECEIPTS	This Period
1. Contributions (Schedule A-1) Page ____ of ____	\$ 0
2. In-kind Contributions (Schedule A-2)	
3. Campaign paraphernalia sales of \$25 or less	
4. TOTAL CONTRIBUTIONS (Lines 1 + 2 + 3)	\$ 0
5. Other Receipts (Schedule A-3)	
6. Loans Received (Schedule B) Page ____ of ____	\$ 0
7. Loan Repayments Received (Schedule D)	
8. TOTAL RECEIPTS (Lines 4 + 5 + 6 + 7)	\$ 0

DISBURSEMENTS	This Period
9. Expenditures (Schedule E-1) Page ____ of ____	\$ 0
10. Other Disbursements (Schedule E-2)	
11. Loan Repayments Made (Schedule B)	
12. Funds Loaned (Schedule D)	
13. TOTAL DISBURSEMENTS (Lines 9 + 10 + 11 + 12)	\$ 0

FINANCIAL SUMMARY	Amount
14. Funds on hand at beginning of reporting period (Must equal funds on hand at close from last report or -0- if first report for this election)	\$ 0
15. <i>Plus</i> total receipts this period (Line 8 above)	\$ 0
16. <i>Less</i> total disbursements this period (Line 13 above)	\$ 0
17. <i>Less</i> in-kind contributions (Line 2 above)	
18. Funds on hand at close of reporting period	\$ 0

SUMMARY PAGE (continued)

INVESTMENTS	Amount
19. Of funds on hand at beginning of reporting period (Line 14, above), amount held in investments (i.e., savings accounts, CD's, money market funds, etc.)	
20. Of funds on hand at close of reporting period (Line 18, above), amount held in investments	

SPECIAL TRANSACTIONS	This Period
21. Candidate's personal funds (Use of personal funds as either a contribution or loan to the campaign should be reported on Schedules A-1 or B. Personal funds reported as contributions may not later be repaid.)	
22. Contributions received from political committees (From Schedules A-1 and A-2)	
23. All proceeds from the sale of tickets to fundraising events (Receipts from the sale of tickets are contributions and must also be reported on Schedule A-1.)	
24. Proceeds from the sale of campaign paraphernalia (Receipts from the sale of campaign paraphernalia are contributions and must also be reported on Schedule A-1 or Line 3, above.)	
25. Expenditures from petty cash fund (Must also be reported on Schedule E-1.)	

NOTICE

The personal use of campaign funds is prohibited.* The use of campaign funds must be related to a political campaign or the holding of a public office or party position. A candidate or a candidate's committee which owes outstanding fines or late fees may not expend campaign contributions until all such fines are paid. The Supervisory Committee may prohibit the use of campaign funds to pay fines or penalties for intentional or egregious violations of the Campaign Finance Disclosure Act. Campaign funds may be used to reimburse a candidate for expenses related to his campaign or office, to pay taxes on the interest earned on campaign funds or to replace articles lost, stolen, or damaged in connection with a campaign.

Excess campaign funds may be returned to contributors on a pro rata basis, given as a charitable contribution as provided in 26 USC 170(e), given to a charitable organization as defined in 26 USC 501(c)(3), expended in support of or opposition to a proposition, political party, or candidacy of any person, or maintained in a segregated fund for use in future political campaigns or activity related to preparing for future candidacy to elective office.

*The prohibition on the personal use of campaign funds does not apply to campaign funds received prior to July 15, 1988.

SCHEDULE B: LOANS RECEIVED

The following information must be provided for each loan or line of credit received this reporting period, even if it has been repaid. Also, complete this schedule for loans received in prior periods that are still outstanding. Separate loans must be reported separately, even if from the same source. Any personal funds a candidate loans to his campaign must be reported on this schedule.

1. Name and address of lender Sidney H. Cates, IV 1100 Poydras Street - 1230 Energy Ctr. New Orleans, LA 70112	2. a. Date* <u>6/23/2004</u> b. Interest rate _____ % (a.p.r.) c. Amount borrowed* \$ <u>5,000</u> d. Balance due \$ <u>5,000</u> <small>*For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c. OPTIONAL: Total amount of credit available \$ _____</small>
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3. Endorsers/Guarantors 	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="3" style="text-align: left; padding: 5px;">4. Repayments this period</th> </tr> <tr> <th style="width: 33%; text-align: center; padding: 5px;">Date</th> <th style="width: 33%; text-align: center; padding: 5px;">Principal</th> <th style="width: 33%; text-align: center; padding: 5px;">Interest</th> </tr> <tr> <td style="height: 150px;"></td> <td></td> <td></td> </tr> </table>	4. Repayments this period			Date	Principal	Interest			
4. Repayments this period										
Date	Principal	Interest								

(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)

(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)

1. Name and address of lender Sidney H. Cates, IV 1100 Poydras Street - 1230 Energy Ctr. New Orleans, LA 70112	2. a. Date* <u>7/22/2004</u> b. Interest rate _____ % (a.p.r.) c. Amount borrowed* \$ <u>600.00</u> d. Balance due \$ <u>600.00</u> <small>*For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c. OPTIONAL: Total amount of credit available \$ _____</small>
---	--

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Form 102, Rev. 3/98, Page Rev. 3/98.

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1. Name and address of lender Sidney H. Cates, IV 1100 Poydras Street - 1230 Energy Ctr. New Orleans, LA 70112	2. a. Date* <u>6/1/2004</u> b. Interest rate _____ % (a.p.r.) c. Amount borrowed* \$ <u>2,961</u> d. Balance due \$ <u>2,961</u> *For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c. OPTIONAL: Total amount of credit available \$ _____						
3. Endorsers/Guarantors (Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)	<table border="1"> <tr> <th data-bbox="571 414 813 476">4. Repayments this period Date</th> <th data-bbox="813 414 1055 476">Principal</th> <th data-bbox="1055 414 1290 476">Interest</th> </tr> <tr> <td data-bbox="571 476 813 781" style="height: 150px;"></td> <td data-bbox="813 476 1055 781"></td> <td data-bbox="1055 476 1290 781"></td> </tr> </table> (List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)	4. Repayments this period Date	Principal	Interest			
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1. Name and address of lender Sidney H. Cates, IV 1100 Poydras Street - 1230 Energy Ctr. New Orleans, LA 70112	2. a. Date* <u>8/25/2004</u> b. Interest rate _____ % (a.p.r.) c. Amount borrowed* \$ <u>2,500</u> d. Balance due \$ <u>2,500</u> *For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c. OPTIONAL: Total amount of credit available \$ _____						
3. Endorsers/Guarantors (Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)	<table border="1"> <tr> <th data-bbox="571 1102 813 1164">4. Repayments this period Date</th> <th data-bbox="813 1102 1055 1164">Principal</th> <th data-bbox="1055 1102 1290 1164">Interest</th> </tr> <tr> <td data-bbox="571 1164 813 1457" style="height: 150px;"></td> <td data-bbox="813 1164 1055 1457"></td> <td data-bbox="1055 1164 1290 1457"></td> </tr> </table>	4. Repayments this period Date	Principal	Interest			
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1. Name and address of lender Sidney H. Cates, IV 1100 Poydras Street - 1230 Energy Ctr. New Orleans, LA 70112	<table style="width: 100%;"> <tr> <td style="width: 50%;">2. a. Date* <u>10/12/2004</u></td> <td style="width: 50%;">b. Interest rate <u> </u> %(a.p.r.)</td> </tr> <tr> <td colspan="2">c. Amount borrowed* \$ <u>3,600</u></td> </tr> <tr> <td colspan="2">d. Balance due \$ <u>3,600</u></td> </tr> </table> <p style="font-size: small;">*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ <u> </u></p>	2. a. Date* <u>10/12/2004</u>	b. Interest rate <u> </u> %(a.p.r.)	c. Amount borrowed* \$ <u>3,600</u>		d. Balance due \$ <u>3,600</u>				
2. a. Date* <u>10/12/2004</u>	b. Interest rate <u> </u> %(a.p.r.)									
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2. a. Date* <u>11/26/2004</u>	b. Interest rate <u> </u> %(a.p.r.)									
c. Amount borrowed* \$ <u>1,500</u>										
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3. Endorsers/Guarantors 	<table style="width: 100%;"> <tr> <th style="width: 40%;">4. Repayments this period</th> <th style="width: 30%;">Principal</th> <th style="width: 30%;">Interest</th> </tr> <tr> <th style="text-align: center; font-size: small;">Date</th> <th></th> <th></th> </tr> <tr> <td style="height: 100px;"></td> <td></td> <td></td> </tr> </table>	4. Repayments this period	Principal	Interest	Date					
4. Repayments this period	Principal	Interest								
Date										

SCHEDULE C: DEBTS & OBLIGATIONS (OTHER THAN LOANS)

DEBTS OWED BY THE CAMPAIGN

DEBTS OWED TO THE CAMPAIGN

Use this schedule to report either debts owed by the campaign or debts owed to the campaign, checking the appropriate line above. If the campaign has experienced both types of debts, then copy this page and report them separately. Never combine debts owed by and debts owed to on the same page. Debts should be reported on this schedule until repaid. When repayments are made by the campaign, a corresponding entry should be made on SCHEDULE E-1: GENERAL EXPENDITURES. When repayments are received by the campaign, a corresponding entry should be made on SCHEDULE A-3: OTHER RECEIPTS.

1. Name and Address of Creditor/Debtor	2. Outstanding Balance Beginning This Period	3. Amount(s) Incurred This Period (+)	4. Payment(s) Made This Period (-)	5. Outstanding Balance at Close of This Period
Cygnat Advertising 731 Napoleon Ave. New Orleans, LA 70115 Reason Debt Incurred: Advertising	\$ 5,000.00	\$ 0.00	\$ 0.00	\$ 5,000.00
Printer's Wholesale Group 3801 N. Causeway Blvd. Ste 203 Metairie, LA 70002 Reason Debt Incurred:	\$ 7,500.00	\$ 0.00	\$ 0.00	\$ 7,500.00
Evans & Associates 1748 Jackson Ave. New Orleans, LA 70113 Reason Debt Incurred:	\$ 10,000.00	\$ 0.00	\$ 0.00	\$ 10,000.00
Reason Debt Incurred:				
Reason Debt Incurred:				
Reason Debt Incurred:				
Reason Debt Incurred:				
Reason Debt Incurred:				
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